

Correlation between numerical and categorical immunohistochemical score of Ki-67 and HER2 with clinicopathological parameters of breast cancer

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ABSTRACT

Aim To evaluate the relationship between numerical and categorical immunohistochemical score of Ki-67 and human epidermal growth factor of receptor 2 (HER2) with clinicopathological parameters of breast cancer (BC).

Methods The study included 311 patients with invasive BC diagnosed at the Department of Pathology, School of Medicine in Sarajevo, Bosnia and Herzegovina, during the period 2015-2019. The expression level of Ki-67 and HER2 was detected by immunohistochemical analysis.

Results The expression of Ki-67, as a numerical variable correlated significantly with tumour grade ($p=0.025$), progesterone receptor (PR) ($p=0.034$) and categorical score of HER2 ($p=0.028$). When Ki-67 was categorized into high ($>14\%$) and low ($\leq 14\%$) level groups, a statistically significant association was found between Ki-67 level groups and HER2 status (categorical and numerical) ($p=0.001$ and $p=0.043$, respectively), as well as significant negative linear correlation with PR ($p=0.037$). The expression of HER2, as a numerical variable, showed a statistically significant correlation with tumour grade ($p=0.038$), PR ($p=0.025$) and categorical Ki-67 ($p=0.043$). Categorical score of HER2 correlated significantly with age ($p=0.025$), histologic type ($p=0.039$), tumour grade ($p=0.016$), estrogen receptor (ER), ($p=0.002$) progesterone receptor (PR) ($p=0.0001$), and categorical and numerical value of Ki-67 ($p=0.0001$ and $p=0.0001$, respectively).

Conclusion The results demonstrated that the categorical immunohistochemical score of HER2 provided a greater association with clinicopathological parameters than numerical score of BC. Furthermore, a slightly better correlation with clinicopathological parameters was shown by the numerical value than by the categorical score of Ki-67 by applying a cut-off value of 14%.

Key words: breast neoplasms, carcinoma, prognosis, proliferative activity

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INTRODUCTION

Breast cancer (BC) is the most common cancer and the leading cause of death in women worldwide (1). The expression of biomarkers in BC is important to identify prognosis. Several classic BC markers, such as estrogen receptor (ER), progesterone receptor (PR), Ki-67 proliferative index, and human epidermal growth factor of receptor 2 (HER2) are relevant for therapeutic strategy and prognosis (2-4). In addition to these factors, the assessment of tumour proliferation pattern is important for a treatment decision (2-4).

Uncontrolled proliferation of tumour cells is a distinct feature of malignancy and can be assessed by various methods (5). Dowsett et al. found that the most commonly used measurement is immunohistochemical evaluation of Ki-67 antigen (6). Although Ki-67 is the most commonly used marker to evaluate proliferative index in BC, clearly defined cut-off values for high Ki-67 index have not been defined yet (7).

HER2 is an important regulator of the cell cycle, including cell proliferation, cell survival, and apoptosis (8,9). The amplification or overexpression of HER2 serves as a prognostic factor and also has a therapeutic significance (10,11).

The identification of the optimal method or methodologies for the assessment of proliferative activity in BC has been the subject of several previous studies (12-13). Despite numerous studies in this field, the relationship between the Ki-67 and other clinicopathological prognostic factors remains uncertain (14,15). The association of HER2 status and Ki-67 is still controversial, as some researchers have found a positive association with Ki-67, but others have not (14,16).

In routine clinical practice, the results of immunohistochemical analysis of Ki-67 and HER2 are presented as numerical and categorical values. Numerical score is used in determination of categorical score, which primarily has predictive significance but also is important in prognosis identification.

The aim of this study was to evaluate the relationship between numerical and categorical immunohistochemical score of Ki-67 and HER2 with clinicopathological characteristics of BC in order to determine which immunohistochemical score provides better prognostic significance.

PATIENTS AND METHODS

Patients and study design

A retrospective analysis was conducted using data of 311 patients with invasive BC diagnosed at the Department of Pathology, School of Medicine Sarajevo, Bosnia and Herzegovina, during the period from 2015 to 2019. All patients with BC underwent partial or total mastectomy with axillary lymph node dissection. No neoadjuvant chemotherapy or radiotherapy was administered before surgical treatment. Tissues specimens were fixed in 10% buffered formalin, paraffin embedded, processed, and stained with hematoxylin and eosin.

The study analysed patient's age, histologic tumour type according to the WHO (17); tumour size (using the TNM staging system) T1 (including T1a, T1b, and T1c) ≤ 2 cm, T2 $>2 \leq 5$ cm, T3 >5 cm T4 (including T4a, T4b, T4c, and T4d) tumour of any size growing into the chest wall or skin (inflammatory breast cancer) (18); tumour grade (using Nottingham histological score, Elston and Ellis histologic grading criteria (19): grade I (well differentiated), grade II (moderately differentiated), and grade III (poorly differentiated); lymph node (LN) metastasis (negative, positive); and lymphatic vessel invasion (LVI) (absent, present).

Methods

Immunohistochemical analysis. 4- μ m-thick sections of formalin-fixed, paraffin-embedded tissue were mounted on coated slides. The immunostained slides were examined for nuclear staining in the case of estrogen receptor (ER), progesterone receptor (PR), and Ki-67, and membrane staining in the case of HER2.

The primary antibody against the ER was performed in humidity chamber in EDTA buffer (pH 9) for 40 min (clone 1D5, Dako Cytomation, Glostrup, Denmark, dilution 1:30). The protocols for staining PR and Ki-67 included a microwave antigen retrieval step, 3 times for 5 minutes: anti-PR (clone PgR, Dako Cytomation, Glostrup, Denmark; dilution 1:30), anti-Ki-67 (clone MIB-1, Dako Cytomation, Glostrup, Denmark; dilution 1:10). Antigen retrieval for HER2 using Hercep-Test was performed following the manufacturer's protocol (Dako Cytomation).

Immunohistochemical evaluation. For hormone receptors, the proportion of positive staining tumour cells (expressed in percentage) and the average intensity of staining were evaluated based on Allred score method (20). Tumours were considered positive for ER and PR when at least 1% of the tumour cells showed unequivocal nuclear staining according the American Society of Clinical Oncology and the College of American Pathologists (ASCO/CAP) guidelines (21).

Interpretation of HER2 staining and scoring. HER2 was scored according to the pattern of membranous staining and percentage of stained malignant cells. HER2 staining was scored from 0 to 3+ (Hercep Test score) (according to the manufacturer) as follows: 0 - no staining or faint incomplete staining in <10% of cells; 1 - faint incomplete staining in >10% of cells; 2 - weak to moderate complete staining in >10% of cells; 3 - strong complete staining in >10% of cells. In categorical scoring only score 3 was considered positive; if IHC is 0 or 1+, the tumour was considered HER2 negative; samples with HER2 score of 2+ was confirmed as HER2-negative or HER2-positive using chromogenic in situ hybridization (CISH).

Interpretation of Ki-67 staining and scoring. As Ki-67 is a nuclear protein, only nuclear staining (plus mitotic figures stained with Ki-67) was incorporated into the Ki-67 score. The fraction of proliferating cells was based on a count of at least 500 tumour cells. The Ki-67 proliferative index for each of the cases provided Ki-67 results using numerical and categorical scores. Numerical score in the range 0-100 corresponded to the percentage of positive tumour cells (the Ki-67 values were expressed as the percentage of positive cells in each case). In categorical score, cases with $\geq 14\%$ positive nuclei were classified as positive (high) Ki-67 expression, and those with $< 14\%$ were classified as negative (low) Ki-67 expression.

Statistical analysis

Patients and clinicopathological characteristics were evaluated using descriptive statistics. The correlation between Ki-67 and HER2 as a categorical variable with other clinicopathologic parameters were evaluated using Pearson's χ^2 test and Spearman rank correlation test. Kruskal-Wallis or Mann-Whitney U test were used to evaluate the difference in the continuous varia-

bles between the mean score of Ki-67 and HER2 as a numerical variable with clinicopathologic factors. For all statistical analyses, a $p \leq 0.05$ was considered significant.

RESULTS

The present study was conducted on 311 breast cancer patients with the mean age of 60.65 ± 11.25 years and age range of 32 to 89 years. Breast cancer was most common in postmenopausal women, 256 (82.3%). The majority of the tumours ranged between 2 and 5 cm in size (pT2), 167 (53.7%). Invasive ductal carcinoma was seen in 299 (73.6%), lobular carcinoma in 34 (10.9%) and other types in 48 (15.4%) patients (Table 1).

Table 1. Clinicopathological characteristics of 311 patients with breast cancer

Variables	Value
Mean age (years) (\pm SD)	60.65 \pm 11.25
Menopausal status (No, %)	
Premenopausal	55 (17.7)
Postmenopausal	256 (82.3)
Tumour size (AJCC) (No, %)	
pT1	116 (37.3)
pT2	167 (53.7)
pT3	16 (5.1)
pT4	12 (3.9)
Histological type (No, %)	
Ductal (NOS)	229 (73.6)
Lobular	34 (10.9)
Other	48 (15.4)
Nottingham grade (No, %)	
G1	49 (15.8)
G2	213 (68.5)
G3	49 (15.8)
ER status (No, %)	
Negative	75 (24.1)
Positive	236 (75.9)
PR status (No, %)	
Negative	91 (29.3)
Positive	220 (70.7)
HER-2 score (No, %)	
0	173 (55.6)
1 +	71 (22.8)
2 +	34 (10.9)
3 +	33 (10.6)
HER-2 status (No, %)	
Negative	257 (82.6)
Positive	54 (17.4)
Ki-67 (categorical) (No, %)	
Low ($< 14\%$)	155 (49.8)
High ($\geq 14\%$)	156 (50.2)
Mean Ki-67 (numerical) (\pm SD)	24.12 \pm 26.82
LN status (No, %)	
Negative	147 (47.3)
Positive	164 (52.7)
LVI (No, %)	
Absent	143 (46.0)
Present	168 (54.0)

AJCC, American Joint Committee on Cancer; NOS, not otherwise specified; ER, estrogen receptor; PR, progesterone receptor; HER, human epidermal growth factor; LN, lymph node status; LVI, lymphovascular invasion;

The numerical score of Ki-67 ranged from 1 to 95% (mean $24.12 \pm 26.82\%$). The expression of

Ki-67 as a numerical variable, showed a statistically significant correlation with tumour grade (p=0.025), PR (p=0.034), categorical score of HER2 (p=0.0001). There were no correlations between age, menopausal status, tumour size, LN status, LVI, ER, and categorical HER2 (p>0.05). However, 155 (out of 311; 49.8%) patients were in low, and 156 (50.2%) were in high Ki-67 expression group. High expression of Ki-67 was more frequent in the patients with high grade tumours, but without statistical significance (p=0.069), and showed correlation with HER2 status: categorical and numerical (p=0.001 and p=0.043, respectively). Also, there was a significant negative linear correlation with PR (p=0.041) (Table 2).

Table 2. Correlation of categorical and numerical scores of Ki-67 with clinicopathological characteristics of 311 patients with breast cancer

Variable	Categorical Ki-67		Numerical Ki-67	
	Correlation Coefficient	P	Correlation Coefficient	P
Age	-0.017	0.768	-0.051	0.337
Menopausal status	0.044	0.443	0.002	0.957
pT (tumour size)	0.001	0.981	-0.025	0.659
Histological type	-0.083	0.145	-0.100	0.078
Tumour grade	0.103	0.069	0.127	<0.025
LVI	-0.029	0.607	-0.055	0.333
LN status	-0.081	0.156	-0.077	0.174
ER	-0.081	0.155	-0.066	0.248
PR	-0.118	<0.037	-0.120	<0.034
HER2 categorical	0.219	<0.0001	0.240	<0.0001
HER2 numerical	0.115	<0.043	0.101	0.076

LVI, lymphovascular invasion; LN, lymph node status; ER, estrogen receptor; PR, progesterone receptor; HER, human epidermal growth factor;

The expression of HER2, as a numerical variable, showed statistically significant correlation with the tumour grade (p=0.038), PR (p=0.025) and categorical Ki-67 (p=0.043). No association was found between numerical score of HER2 and other clinicopathological parameters (Table 3).

Table 3. Correlation of categorical and numerical scores of human epidermal growth factor of receptor 2 (HER2) with clinicopathological parameters of 311 patients with breast cancer

Variable	Categorical HER2		Numerical HER2	
	Correlation Coefficient	P	Correlation Coefficient	P
Age	-0.127	<0.025	-0.085	0.133
Menopausal status	-0.010	0.860	-0.011	0.848
pT (tumour size)	0.022	0.697	0.061	0.283
Histological type	-0.117	<0.039	-0.095	0.093
Tumour grade	0.136	<0.016	0.118	<0.038
LVI	0.014	0.804	0.035	0.544
LN	0.009	0.876	0.045	0.427
ER	-0.178	<0.002	-0.087	0.124
PR	-0.246	<0.0001	-0.127	<0.025
Ki-67 categorical	0.219	<0.0001	0.115	<0.043
Ki-67 numerical	0.240	<0.0001	0.101	0.076

HER, human epidermal growth factor; LVI, lymphovascular invasion; LN, lymph node status; ER, estrogen receptor; PR, progesterone receptor;

Categorical score of HER2 showed a statistically significant correlation with histologic type (p=0.039), tumour grade (p=0.016), ER (p=0.002), PR (p=0.0001), age (p=0.025), as well as with categorical and numerical value of Ki-67 (p=0.0001 and p=0.0001, respectively). No statistical differences in menopausal status, tumour size, LN status and LVI were observed (Table 3).

DISCUSSION

To the best of our knowledge, this is the first study to compare the relationship between numerical and categorical immunohistochemical score of Ki-67 and HER2 with clinicopathological characteristics of breast cancer patients. However, the association of Ki-67 index with prognostic parameters of BC has been extensively studied (7,22,23). The correlation of Ki-67 with clinicopathologic factors varied, although the purpose of these studies was the assessment of prognosis and predictive value determination (4,24,25).

The results of this study showed a correlation between the expression of Ki-67 (as numerical variable) and tumour grade, PR, and numerical score of HER2. However, no correlation was observed between Ki-67 index and age, menopausal status, tumour size, histologic type, ER, LN status, LVI and categorical HER2.

Recent studies have shown that absolute (numerical) high expression of Ki-67 is associated with higher tumour size, higher LN status, higher tumour grade, ER/PR negativity, HER2 and LVI positivity (26,27). Our findings were not consistent with the results of previous studies. These discrepancies may be related to the patients and tumour heterogeneity. The mean Ki-67 score in the presented study was 24.31%, in contrast with results of Sun et al., with 31.22% (26).

When Ki-67 was categorized into high (>14%) and low (≤14%) level groups, a statistically significant association was revealed between Ki-67 expression and HER2 status (numerical and categorical), and significant negative linear correlation with PR. No significant correlation was observed with the rest of the clinicopathologic parameters.

A number of previous studies have investigated the correlation between Ki-67 and other clinicopathological parameters, using Ki-67 as a categorical variable (23, 27-29), however, the findings were controversial. The earliest study conducted

in the United Kingdom, demonstrated a significant association between the Ki-67 index and the histological grade, size and type of the tumours (30). A study that included a cohort of Pakistani patients revealed a significant association between Ki-67 expression and tumour grade, PR, HER2 and lymph node status (23). Alco et al. reported the results of the largest study from Turkey in 2015 and revealed that the Ki-67 index correlated positively with an increasing tumour size (28). In our study, an association was found between Ki-67 level (numerical and categorical) and tumour staging, but without statistical significance. This correlation was demonstrated in many previous studies (14, 27-27, 31). Consistent with the observations of other studies (32-34) our observation that Ki-67 positivity leads breast carcinoma in progression to higher histological grade, implies that Ki-67 high expression promotes tumour growth in breast cancer patients (34).

Several methods for assessing HER2 status are currently available, and each method has its proponents. Immunohistochemistry is the most frequently used, convenient and cost-effective initial test for HER2 protein expression. The results of immunohistochemistry are generally divided into four scale scores (range 0 to 3+), depending on the percentage of positive tumour cells and staining intensity (numerical score), then categorized into positive and negative (categorical score). HER-2 status is crucial in the guidance of treatment decisions for the use of trastuzumab and is becoming a standard recommendation in the pretreatment work-up of patients with invasive breast cancer (35). We did not find any data which investigate the correlation between numerical score of HER2 and clinicopathological parameters in literature except our results. We found that HER2 overexpression correlated negatively with PR expression, while correlating positively with the tumour grade and categorical Ki-67 positivity. No association was found between numerical score of HER2 and other clinicopathological parameters.

The overexpression or amplification of HER2 is an indicator of likelihood of response to anti-HER2 therapies (36). This is the predictive si-

gnificance of HER2 overexpression (positivity). In all previous analyses, the prognostic value of HER2 was determined using a categorical result. In the presented study, HER2 overexpression was statistically significant with respect to age, histological type, tumour grade, ER, PR, categorical and numerical values of Ki-67. No statistical differences in menopausal status, tumour size, LN status and LVI were observed.

Numerous earlier researches have enrolled cases with HER-2 overexpression and reported their correlation with a high tumour grade, absence of ER or PR expression and high Ki-67 (31, 37-40). The coincidence of HER2 overexpression with Ki-67 high expression, PR and ER negativity indicates that there may be some regulatory relationship between HER2 and these genes in signal transduction pathways (39). Many studies have reported that age is an independent factor for poor prognosis in BC (41-43), which is consistent with the results of our study, but there are also studies that did not confirm these findings (44). Moreover, various studies have a lack of relationship between histologic type and HER2 status, which is inconsistent with our results (44,45).

In conclusion, the results of this study demonstrate that the categorical immunohistochemical score of HER2 provided a greater association with clinicopathological characteristics than numerical score of BC. This can be explained by equivocal HER2 +, which in the categorization is unequivocal and defined as positive or negative, by retesting using *in situ* hybridization methods. Also, there were small differences found between the correlation of numerical and categorical values of Ki-67 with clinicopathological parameters. A better association was shown by using the numerical value of Ki-67 than by using categorical score applying cut-off value of 14%. This indicates a necessity of new researches that would more precisely determine the cut-off value for Ki-67.

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TRANSPARENCY DECLARATION

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